



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**



Red Flags and AML Regulatory Reporting

Course No 161

This course is designed to familiarize attendees with red flags and Money Laundering (ML) indicators, as well as the robust measures required to manage ML risks. In today's world, robust AML controls are not only necessary to safeguard reputation, but also mandated by the Regulator. As ML risk cannot always be controlled and reduced to an acceptable level, the course delves into the reporting requirements imposed by the Regulator, including the reporting of suspicious activities and transactions, requests for information and the annual Risk Evaluation Questionnaire. Specifically concerning the latter, the course goes into the purpose and aim of the REQ, the sections and the information that is normally requested by the Regulator. Reference will be made to the FIAU implementing procedures Part 1, as well as official guidance issued by the FIAU on the subject matter.

Supported by:



Sample Topics Covered:

Red flags and ML indicators

CDD measures to control the risk

Role of the MLRO

Internal and External Reporting

Types of reports and how to report on GoAML

Requests for Information

The REQ, purpose, aim, sections and data required

General Information

Time: 09.30 - 11.30hrs (February)
13.30 - 15.30hrs (October)

Venue: Online

Level: Introductory

Schedule

Duration: 2 hours

Dates: ☐ 9 February
☐ 29 October

Target Audience

Subject persons and their MLROs, compliance teams, compliance officers and internal auditors will find this course useful.

Registration fee: **€75 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the '**apply now**' button and completing the online application process.

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Lecturer

Dr Rebecca Bonnici



Dr. Rebecca Bonnici holds the position of Senior AML Compliance Consultant within BDO Malta and currently provides a holistic range of AML and risk advisory services to BDO's customers. Rebecca's experience to date has given her a practical legal understanding of the AML/CFT Compliance field. She has also been involved in providing a variety of services relating to regulatory compliance, particularly in terms of corporate service providers and trustee services, having also had regular exposure to the areas of residency and citizenship law. Rebecca holds a bachelor's degree with honours in law and a Master of Advocacy degree from the University of Malta. She is a lawyer by profession and holds a warrant to practice law in Malta.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

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